

Schedule I

CONFIDENTIAL

Note : You should not inform customer of your suspicion

Year :

Reference No :

Name of Bank/Finance Company :

Code :

SUSPICIOUS TRANSACTION REPORT BY BANKS / FINANCE COMPANIES

a. This report is made pursuant to the requirement to report suspicious transactions under the Financial Transaction Reporting Act, No. 6 of 2006.

b. Under Section 12 of the Act, no civil, criminal or disciplinary proceedings shall be brought against a person who makes such report in good faith.

PART A - DETAILS OF REPORT

1	Date of Sending Report			
2	Is this replacement to an earlier report ?	Yes		No
3	If yes, Previous Reference No			

PART B - INFORMATION ON CUSTOMERS

(a) Account Holder

4	Name in Full (if organization, provide registered Business/organization name)			
5	Residential/Registered Address			
6	NIC No./ Passport No./ Business Registration No			
7	Gender	Male		Female
8	Country of Residence and Nationality			
9	Business/Employment Type			
10	Occupation (where appropriate, principal activity of the person conducting the transaction)			
11	Name of Employer (where applicable)			
12	Telephone			
13	Last Review Date of Account/ Transaction			
14	Duration of the Relationship with Customer			

(b) Person conducting the Suspicious Transaction

15	Name in Full (if organization, provide registered business/organization name)			
16	Residential/Registered Address			
17	NIC No./ Passport No./ Business Registration No			
18	Gender			
19	Country of Residence and Nationality			
20	Business/Employment Type			
21	Occupation (where appropriate, principal activity of the person			

	conducting the transaction)	
22	Name of Employer (where applicable)	
23	Telephone	

(c) Details of other Business/Related Accounts

No.	Account No.	Account Status	Branch Name	Customer ID (eg. NIC)	Date of Opening	Current Balance

PART C - SUSPICIOUS TRANSACTION DETAILS

24	Account Number	
25	Date of Account Opening	
26	Account Type	
27	Branch Name	
28	Branch Address	
29	Account Status (Active/ Inactive/ Dormant)	
30	Current Balance (Rs.)	
31	Frequency of Transactions	
32	Suspicious Transaction Date	
33	Currency Code	
34	Total Amount Involved in Suspicious Transaction (Rs.)	
35	Amount in Foreign Currency	
36	Beneficiary of Transaction, if any	

PART D - DESCRIPTION OF SUSPICIOUS TRANSACTION

37	<p>Selected ground for suspicion</p> <p>(1) Activating of dormant account</p> <p>(2) Large/Unusual cash deposit/withdrawal not consistent with the known pattern of transactions</p> <p>(3) Frequent transactions below the mandatory reporting threshold level (Rs. 1,000,000)</p> <p>(4) Customer suspected of having terrorist links</p> <p>(5) Funds originating from a suspicious organization/individual (known terrorist front organizations, shell companies etc.)</p> <p>(6) Reluctance to divulge identification and other information</p> <p>(7) Regular unusual offshore activity</p> <p>(8) Large/ unusual inward/ outward remittance</p> <p>(9) Transaction without an economic rationale</p> <p>(10) Large unusual inward remittance from a suspicious organization</p> <p>(11) Others (please specify)</p>
38	<p>Ground of Suspicion - Mention summary of suspicion and sequence of events</p> <p>(Could be included as additional attachments)</p>

PART E - DETAILS OF REPORTING OFFICER AND COMPLIANCE OFFICER

39	Date of Reporting	
40	Name of Reporting Officer	
41	Designation	
42	Address	
43	Contact No.	
44	Name of Compliance Officer	

PART F - FOR FIU - SRI LANKA USE ONLY

45	Name of Receiving Officer	
46	Date Received	
47	Date of Acknowledgment	
48	STR Number	

The secure e-filing systems is available to file this Suspicious Transaction Report (STR). Please go to <https://lankafin.cbsl.lk> for more information.

In order to comply with the legal requirements of the FTRA, reporting institutions are also required to submit certified copies of completed STRs to the FIU.

Director
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Central Bank of Sri Lanka
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FIU ACKNOWLEDGEMENT

Received by the Financial Intelligence Unit of the Central Bank of Sri Lanka

STR Number	
From	
Date	

Director / FIU